

Cardinal Distribution

a Cardinal Health company

849 Response to Product Transfer Account Adjustment

Functional Group ID=CF

Introduction:

The information presented in this document is provided to establish the requirements of Cardinal Distribution in passing documents electronically using EDI technology with our trading partners. Standards were developed using the "Industry Conventions and Implementation Guidelines for Electronic Data Interchange" documentation of the National Wholesale Druggists* Association and are the requirements of our Chargebacks Group. Please contact our eCommerce Support Staff at (614) 757-5334 with any questions.

*The use of common special characters as the subelement separator in the ISA is not acceptable by Cardinal Distribution.

Heading:

	<u>Pos. No.</u>	<u>Seg. ID</u>	<u>Name</u>	<u>Req. Des.</u>	<u>Max.Use</u>	<u>Loop Repeat</u>	<u>Notes and Comments</u>
Must Use	010	ST	Transaction Set Header	M	1		
Must Use	020	BRC	Beginning Segment for Response to Product Transfer Account Adjustment	M	1		
Must Use	050	REF	Reference Identification	O	12		
LOOP ID - N1						50	
Must Use	080	N1	Name	O	1		

Detail:

	<u>Pos. No.</u>	<u>Seg. ID</u>	<u>Name</u>	<u>Req. Des.</u>	<u>Max.Use</u>	<u>Loop Repeat</u>	<u>Notes and Comments</u>
LOOP ID - CON						10000	
Must Use	010	CON	Contract Number Detail	O	1		
	020	AAA	Request Validation	O	10		
	030	REF	Reference Identification	O	12		
LOOP ID - N1						1	
Must Use	060	N1	Name	O	1		
LOOP ID - PAD						1000	
Must Use	120	PAD	Product Adjustment Detail	O	1		
Must Use	130	LIN	Item Identification	O	1		
Required	140	AAA	Request Validation	O	10		
	170	UIT	Unit Detail	O	5		
	180	QTY	Quantity	O	5		
	190	AMT	Monetary Amount	O	2		n1

Must Use	210	REF	Reference Identification	O	12	
Must Use	220	DTM	Date/Time Reference	O	10	

Summary:

	<u>Pos. No.</u>	<u>Seg. ID</u>	<u>Name</u>	<u>Req. Des.</u>	<u>Max.Use</u>	<u>Loop Repeat</u>	<u>Notes and Comments</u>
Must Use	010	CTT	Transaction Totals	M	1		n2
Must Use	020	AMT	Monetary Amount	O	5		n3
Must Use	030	SE	Transaction Set Trailer	M	1		

Segment: **ST** Transaction Set Header
Position: 010
Loop:
Level: Heading:
Usage: Mandatory
Max Use: 1
Purpose: To indicate the start of a transaction set and to assign a control number
Syntax Notes:
Semantic Notes: 1 The transaction set identifier (ST01) used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).
Comments:

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
>>	ST01	143 Transaction Set Identifier Code Code uniquely identifying a Transaction Set	M ID 3/3
>>	ST02	329 Transaction Set Control Number Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	M AN 4/9

Segment: **BRC** Beginning Segment for Response to Product Transfer Account Adjustment
Position: 020
Loop:
Level: Heading:
Usage: Mandatory
Max Use: 1
Purpose: To identify the beginning of a Response to Product Transfer Account Adjustment Transaction Set
Syntax Notes: 1 If either BRC03 or BRC04 is present, then the other is required.
Semantic Notes: 1 BRC02 is the date of the response to product transfer account adjustment.
 2 BRC05 is the time of the response to product transfer account adjustment.
Comments:

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
	<u>Des.</u>	<u>Element</u>		
>>	BRC01	353	Transaction Set Purpose Code Code identifying purpose of transaction set 00 Original	M ID 2/2
>>	BRC02	373	Date Date expressed as CCYYMMDD	M DT 8/8
	BRC03	128	Reference Identification Qualifier Code qualifying the Reference Identification CM Buyer's Credit Memo TN Transaction Reference Number	X ID 2/3
	BRC04	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/30

Segment: **REF** Reference Identification
Position: 050
Loop:
Level: Heading:
Usage: Optional
Max Use: 12
Purpose: To specify identifying information
Syntax Notes:

- 1 At least one of REF02 or REF03 is required.
- 2 If either C04003 or C04004 is present, then the other is required.
- 3 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 REF04 contains data relating to the value cited in REF02.

Comments:

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
<u>Des.</u>	<u>Element</u>		
>> REF01	128	Reference Identification Qualifier Code qualifying the Reference Identification	M ID 2/3
		AM Adjustment Memo (Charge Back)	
		RX Resubmit number	
>> REF02	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/30

Segment: **N1** Name
Position: 080
Loop: N1 Optional
Level: Heading:
Usage: Optional
Max Use: 1
Purpose: To identify a party by type of organization, name, and code
Syntax Notes: 1 At least one of N102 or N103 is required.
2 If either N103 or N104 is present, then the other is required.
Semantic Notes:
Comments: 1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
2 N105 and N106 further define the type of entity in N101.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>	
				<u>M</u>	<u>ID</u>
>>	N101	98	Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual DB Distributor Branch or DS Distributor And SU Supplier or MF Manufacturer		2/3
>>	N102	93	Name Free-form name	X	AN 1/60
>>	N103	66	Identification Code Qualifier Code designating the system/method of code structure used for Identification Code (67)	X	ID 1/2
>>	N104	67	Identification Code Code identifying a party or other code	X	AN 2/80

Segment: **CON** **Contract Number Detail**
Position: 010
Loop: CON Optional
Level: Detail:
Usage: Optional (**Must Use**)
Max Use: 1
Purpose: To specify contract or reference number and status
Syntax Notes:
Semantic Notes:
Comments:

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
<u>Des.</u>	<u>Element</u>		
>> CON01	128	Reference Identification Qualifier Code qualifying the Reference Identification VC Vendor Contract Number	M ID 2/3
>> CON02	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	M AN 1/30
>> CON03	846	Contract Status Code Code designating the current status of the contract VA Valid Open Contract	M ID 2/2

Segment: **AAA Request Validation**
Position: 020
Loop: CON Optional
Level: Detail:
Usage: Optional
Max Use: 10
Purpose: To specify the validity of the original chargeback request and indicate follow-up action authorized
Syntax Notes:
Semantic Notes: 1 AAA01 designates whether the request is valid or invalid. Code "Y" indicates that the request is valid; code "N" indicates that the request is invalid.
Comments: 1 If AAA02 is used, AAA03 contains a code from an industry code list.

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
<u>Des.</u>	<u>Element</u>		
>> AAA01	1073	Yes/No Condition or Response Code Code indicating a Yes or No condition or response N No	M ID 1/1
AAA02	559	Agency Qualifier Code Code identifying the agency assigning the code values DR National Wholesale Druggists Association	O ID 2/2
AAA03	901	Reject Reason Code Code assigned by issuer to identify reason for rejection AA Contract Number Missing BB Contract Number Incorrect CC Contract Expired DD Contract Not Yet In Force RI Invalid Resubmit Number Resubmit number does not match any resubmit number assigned or does not match most recent resubmit number assigned	O ID 2/2

Segment: **REF** Reference Identification
Position: 030
Loop: CON Optional
Level: Detail:
Usage: Optional
Max Use: 12
Purpose: To specify identifying information
Syntax Notes:

- 1 At least one of REF02 or REF03 is required.
- 2 If either C04003 or C04004 is present, then the other is required.
- 3 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 REF04 contains data relating to the value cited in REF02.

Comments:

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
>>	REF01	128 Reference Identification Qualifier Code qualifying the Reference Identification C8 Corrected Contract Number	M ID 2/3
>>	REF02	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/30

Segment: **N1** Name
Position: 060
Loop: N1 Optional
Level: Detail:
Usage: Optional (**Must Use**)
Max Use: 1
Purpose: To identify a party by type of organization, name, and code
Syntax Notes: 1 At least one of N102 or N103 is required.
 2 If either N103 or N104 is present, then the other is required.
Semantic Notes:
Comments: 1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
 2 N105 and N106 further define the type of entity in N101.

Data Element Summary

Ref. Des.	Data Element	Name	Attributes
>> N101	98	Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual ST Ship To	M ID 2/3
N103	66	Identification Code Qualifier Code designating the system/method of code structure used for Identification Code (67) 11 Drug Enforcement Administration (DEA) 21 Health Industry Number (HIN)	X ID 1/2
N104	67	Identification Code Code identifying a party or other code	X AN 2/80

Segment: **PAD** Product Adjustment Detail
Position: 120
Loop: PAD Optional
Level: Detail:
Usage: Must Use
Max Use: 1
Purpose: To specify the product transfer type, and/or line item number
Syntax Notes: 1 At least one of PAD01 or PAD02 is required.
 2 If either PAD04 or PAD05 is present, then the other is required.
Semantic Notes: 1 PAD01 is the line item identification.
Comments:

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
PAD01	350	Assigned Identification	X AN 1/20

Alphanumeric characters assigned for differentiation within a transaction set

Segment: **LIN** Item Identification

Position: 130

Loop: PAD Optional

Level: Detail:

Usage: Optional (**Must Use**)

Max Use: 1

Purpose: To specify basic item identification data

Syntax Notes:

- 1 If either LIN04 or LIN05 is present, then the other is required.
- 2 If either LIN06 or LIN07 is present, then the other is required.
- 3 If either LIN08 or LIN09 is present, then the other is required.
- 4 If either LIN10 or LIN11 is present, then the other is required.
- 5 If either LIN12 or LIN13 is present, then the other is required.
- 6 If either LIN14 or LIN15 is present, then the other is required.
- 7 If either LIN16 or LIN17 is present, then the other is required.
- 8 If either LIN18 or LIN19 is present, then the other is required.
- 9 If either LIN20 or LIN21 is present, then the other is required.
- 10 If either LIN22 or LIN23 is present, then the other is required.
- 11 If either LIN24 or LIN25 is present, then the other is required.
- 12 If either LIN26 or LIN27 is present, then the other is required.
- 13 If either LIN28 or LIN29 is present, then the other is required.
- 14 If either LIN30 or LIN31 is present, then the other is required.

Semantic Notes:

- 1 LIN01 is the line item identification

Comments:

- 1 See the Data Dictionary for a complete list of IDs.
- 2 LIN02 through LIN31 provide for fifteen different product/service IDs for each item. For example: Case, Color, Drawing No., U.P.C. No., ISBN No., Model No., or SKU.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>		
LIN01	350	Assigned Identification Number Number assigned for differentiation within a transaction set.	O R 5/5
This element is to be used to reference the Vendor or Manufacturer's Line Item Number only. If this element is populated, Cardinal is expecting only a numeric field of 5.			
>>	LIN02	Product/Service ID Qualifier Code identifying the type/source of the descriptive number used in Product/Service ID (234) N4 National Drug Code in 5-4-2 Format 5-digit manufacturer ID, 4-digit product ID, 2-digit trade package size UI U.P.C. Consumer Package Code (1-5-5)	M ID 2/2
>>	LIN03	Product/Service ID Identifying number for a product or service	M AN 1/48

Segment: AAA Request Validation
Position: 140
Loop: PAD Optional
Level: Detail:
Usage: Optional [Required by application]
Max Use: 4
Purpose: To specify the validity of the original chargeback request and indicate follow-up action authorized
Syntax Notes:
Semantic Notes: 1 AAA01 designates whether the request is valid or invalid. Code "Y" indicates that the request is valid; code "N" indicates that the request is invalid.
Comments: 1 If AAA02 is used, AAA03 contains a code from an industry code list.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>	<u>Yes/No Condition or Response Code</u>	<u>M ID 1/1</u>
>> AAA01	1073	Yes/No Condition or Response Code Code indicating a Yes or No condition or response	M ID 1/1
AAA02	559	Agency Qualifier Code Code identifying the agency assigning the code values	O ID 2/2
AAA03	901	Reject Reason Code Code assigned by issuer to identify reason for rejection	O ID 2/2
	A1	Insufficient Wholesaler Inventory Product quantity in chargeback claim exceeds known quantity in wholesaler's inventory (Invalid claim - do not resubmit).	
	A2	Line Item Too Old Requested claim on this item is past the allowable chargeback submission period (Invalid claim - do not resubmit).	
	A3	Quantity Invalid - Free Goods Chargeback was requested on free goods items (Corrected by manufacturer - do not resubmit).	
	A4	Rebill Without Offsetting Credit Chargeback is for re-billed goods for which no offsetting credit has been received from the wholesaler (Correct and resubmit).	
	A5	Minimum Order Quantity Not Met	
	AA	Contract Number Missing Contract Number is not supplied.	
	BB	Contract Number Incorrect Contract number supplied does not match any valid number in manufacturer file.	
	CC	Contract Expired Invoice data after contract expiration date.	
	DD	Contract Not Yet In Force Invoice date occurred before contract start date	
	EE	Invoice Date Missing or Invalid Invoice date for chargeback claim not supplied or invalid.	
	FF	Customer Not Covered Manufacturer has no record of contract with this customer.	
	GG	Customer Expired Customer dropped from contract before invoice date.	

Segment:

AAA Request Validation

CON'T

HH	Customer Not Yet Eligible Invoice date precedes inclusion of customer on contract
II	Customer DEA Number, Referenced ID, or Address Missing DEA number, customer referenced ID or name/address required to identify customer is missing
JJ	Customer DEA Number, Reference ID or Address Invalid Unable to identify customer. DEA number, customer referenced ID or name/address invalid.
KK	Drug Not Covered Drug for this chargeback not covered on this contract.
LL	Drug Expired Drug dropped from contract before invoice date.
MM	Drug Not Yet Eligible Invoice date precedes date of inclusion of drug on this contract.
NN	Drug Number Missing or Invalid Drug number not submitted or unable to identify.
OO	Wholesaler Not Covered Manufacturer has no record of inclusion of this wholesaler on this contract.
PP	Wholesaler Expired Wholesaler dropped from this contract.
QQ	Wholesaler Not Yet Eligible Invoice date precedes date of inclusion of this wholesaler on this contract.
RI	Invalid Resubmit Number Resubmit number does not match any resubmit number assigned or does not match most recent resubmit number assigned.
RR	Quantity Invalid or Not Supplied Quantity on invoice invalid or not supplied as required.
SS	Contract Price Missing or Incorrect Contract price not supplied or incorrect.
TT	Contract Price Inserted or Corrected Contract price was missing or incorrect, but was adjusted to be correct.
UU	Unit Cost Missing or Incorrect Unit contract cost missing or incorrect.
VV	Unit Cost Inserted or Corrected Unit contract price was missing or incorrect, but was adjusted to be correct.
WW	Extended Amount Incorrect Extended chargeback amount incorrect.
XX	Extended Amount Corrected Extended chargeback amount was incorrect, but was adjusted to be correct.
YY	Duplicate Chargeback Request Manufacturer has record of previous claim on this invoice.

Segment: **UIT** Unit Detail
Position: 170
Loop: PAD Optional
Level: Detail:
Usage: Optional (**Must Use**)
Max Use: 5
Purpose: To specify item unit data
Syntax Notes: 1 If UIT03 is present, then UIT02 is required.
Semantic Notes:
Comments:

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
	<u>Des.</u>	<u>Element</u>		
>>	UIT01	C001	Composite Unit of Measure To identify a composite unit of measure (See Figures Appendix for examples of use)	M
>>	C00101	355	Unit or Basis for Measurement Code Code specifying the units in which a value is being expressed, or manner in which a measurement has been taken UN Unit	M ID 2/2
>>	UIT02	212	Unit Price Price per unit of product, service, commodity, etc.	X
>>	UIT03	639	Basis of Unit Price Code Code identifying the type of unit price for an item SC Submitted Contract Price SW Submitted Wholesale Price CT Contract Price WH Wholesale Price	O

Segment: **QTY** Quantity
Position: 180
Loop: PAD Optional
Level: Detail:
Usage: Optional
Max Use: 5
Purpose: To specify quantity information
Syntax Notes: 1 At least one of QTY02 or QTY04 is required.
 2 Only one of QTY02 or QTY04 may be present.
Semantic Notes: 1 QTY04 is used when the quantity is non-numeric.
Comments:

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
>>	QTY01	673	Quantity Qualifier Code specifying the type of quantity	M ID 2/2
			32 Quantity Sold	
			76 Returns	
			83 Submitted Quantity Sold	
			84 Submitted Quantity Returned	
>>	QTY02	380	Quantity Numeric value of quantity	X R 1/15

Segment: **AMT** Monetary Amount
Position: 190
Loop: PAD Optional
Level: Detail:
Usage: Optional
Max Use: 2
Purpose: To indicate the total monetary amount
Syntax Notes:
Semantic Notes:
Comments:

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
	<u>Des.</u>	<u>Element</u>		
>>	AMT01	522	Amount Qualifier Code Code to qualify amount	M ID 1/3
			A Adjusted Chargeback Claim Amount	
			S Submitted Chargeback Claim Amount	
>>	AMT02	782	Monetary Amount Monetary amount	M R 1/18

Segment: **REF** Reference Identification
Position: 210
Loop: PAD Optional
Level: Detail:
Usage: Optional
Max Use: 12
Purpose: To specify identifying information
Syntax Notes:

- 1 At least one of REF02 or REF03 is required.
- 2 If either C04003 or C04004 is present, then the other is required.
- 3 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 REF04 contains data relating to the value cited in REF02.

Comments:

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
>>	REF01 128	Reference Identification Qualifier Code qualifying the Reference Identification DI Distributor Invoice Number RX Resubmit number	M ID 2/3
>>	REF02 127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/30

Segment: **DTM** Date/Time Reference
Position: 220
Loop: PAD Optional
Level: Detail:
Usage: Optional
Max Use: 10
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:
Comments:

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
>>	DTM01	374 Date/Time Qualifier Code specifying type of date or time, or both date and time 003 Invoice	M ID 3/3
>>	DTM02	373 Date Date expressed as CCYYMMDD	X DT 8/8

Segment: **CTT** Transaction Totals
Position: 010
Loop:
Level: Summary:
Usage: Mandatory
Max Use: 1
Purpose: To transmit a hash total for a specific element in the transaction set
Syntax Notes: 1 If either CTT03 or CTT04 is present, then the other is required.
 2 If either CTT05 or CTT06 is present, then the other is required.
Semantic Notes:
Comments: 1 This segment is intended to provide hash totals to validate transaction completeness and correctness.

Data Element Summary

Ref.	Data		
<u>Des.</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
>> CTT01	354	Number of Line Items	M N0 1/6
		Total number of line items in the transaction set	
		Total number of CON Segments.	

Segment: **AMT** Monetary Amount
Position: 020
Loop:
Level: Summary:
Usage: Optional
Max Use: 5
Purpose: To indicate the total monetary amount
Syntax Notes:
Semantic Notes:
Comments:

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
	<u>Des.</u>	<u>Element</u>		
>>	AMT01	522	Amount Qualifier Code Code to qualify amount	M ID 1/3
			A Adjusted Chargeback Claim Amount	
			S Submitted Chargeback Claim Amount	
			NA Net Adjustment Amount	
>>	AMT02	782	Monetary Amount Monetary amount	M R 1/18

Segment: **SE** Transaction Set Trailer
Position: 030
Loop:
Level: Summary:
Usage: Mandatory
Max Use: 1
Purpose: To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)

Syntax Notes:

Semantic Notes:

Comments: 1 SE is the last segment of each transaction set.

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
	<u>Des.</u>	<u>Element</u>		
>>	SE01	96	Number of Included Segments Total number of segments included in a transaction set including ST and SE segments	M N0 1/10
>>	SE02	329	Transaction Set Control Number Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	M AN 4/9